

Criminal Case Cover Sheet**U.S. District Court - District of Massachusetts**Place of Offense: _____ Category No. II Investigating Agency FBICity BostonCounty Suffolk**Related Case Information:**

Superseding Ind./ Inf. _____ Case No. _____

Same Defendant _____ New Defendant _____

Magistrate Judge Case Number _____

Search Warrant Case Number See below

R 20/R 40 from District of _____

Defendant Information:Is this case related to an existing criminal action pursuant to Rule 40.1(h)? If yes, case number See below ☒ Yes ☐ NoDefendant Name Kris BortnovskyJuvenile: ☐ Yes ☒ NoIs this person an attorney and/or a member of any state/federal bar: ☐ Yes ☒ NoAlias Name: "Kris Bort"

Address: _____

Birth date (Yr only): _____ SSN (last 4#): _____ Sex: _____ Race _____ Nationality: _____

Defense Counsel if known: _____ Address: _____

Bar Number: _____

U.S. Attorney InformationAUSA: Ian Stearns / Kaitlin O'Donnell Bar Number if applicable: 693374/ 675349Interpreter: ☐ Yes ☒ No List language and/or dialect: _____Victims: ☒ Yes ☐ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☐ Yes ☒ NoMatter to be SEALED: ☒ Yes ☐ No☒ Warrant Requested ☐ Regular Process ☐ In Custody

Location Status: _____

Arrest Date: _____

☐ Already in Federal Custody as of _____ in _____☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial☐ On Pretrial Release: Ordered by: _____ on _____Charging Document: ☐ Complaint ☐ Information ☒ IndictmentTotal # of Counts: ☐ Petty _____ ☐ Misdemeanor _____ ☒ Felony 6

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☒ I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.Date: 5/6/2025Signature of AUSA: /s/ Ian J. Stearns

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant Kris Bortnovsky a/k/a "Kris Bort"

U.S.C. Citations

	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>	<u>Count Numbers</u>
Set 1	<u>18 U.S.C. § 1349</u>	<u>Conspiracy to Commit Securities Fraud</u>	<u>1</u>
Set 2	<u>18 U.S.C. § 371</u>	<u>Conspiracy to Commit Securities Fraud</u>	<u>2</u>
Set 3	<u>18 U.S.C. §§ 1348 and 2</u>	<u>Securities Fraud; Aiding and Abetting</u>	<u>3</u>
Set 4	<small>18 U.S.C. §§ 78(b) and 78ff(a); 17 C.F.R. § 240.10b-5; 18 U.S.C. § 2</small>	<u>Securities Fraud; Aiding and Abetting</u>	<u>4</u>
Set 5	<u>18 U.S.C. § 1001(a)(2)</u>	<u>False Statements</u>	<u>5</u>
Set 6	<u>18 U.S.C. §§ 1512(b)(1) and 3147</u>	<u>Obstruction of Justice</u>	<u>6</u>
Set 7	<small>18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c)</small>	<u>Forfeiture Allegation</u>	
Set 8			
Set 9			
Set 10			
Set 11			
Set 12			
Set 13			
Set 14			
Set 15			

ADDITIONAL INFORMATION:

SW MJ Numbers: 18-MJ-2528-MBB; 18-MJ-2529-MBB; 18-MJ-2592-2593-MBB; 19-MJ-2172-MBB; 19-MJ-2173-MBB; 19-MJ-2174-MBB; 19-MJ-2175-MBB; 19-MJ-2176-MBB; 19-MJ-2177-MBB; 19-MJ-2178-MBB; 19-MJ-2186-MBB; 19-MJ-2231-MBB; 19-MJ-2232-MBB; 19-MJ-2233-MBB; 19-MJ-2234-MBB; 20-MJ-2034-MBB; 20-MJ-2035-MBB; 20-MJ-2336-MBB; 23-MJ-2009 through 2011-MBB; 23-2058 through 2059-MBB; 24-MJ-2011 through 2018-MBB
 Related Cases: 25-cr-10041-WGY; 24-cr-10318-WGY; 22-cr-10006-WGY; 25-cr-10105-WGY